EXPLORER 2011 ANNOUNCEMENT OF OPPORTUNITY (AO) INSTRUCTIONS FOR CREATION OF PROPOSAL SUMMARY INFORMATION November, 2010

The Proposal Summary Information shall include the information, and only the information, provided below. This information shall be identical to the information entered into the Electronic Cover Page in NSPIRES. Including a printed copy of the completed NSPIRES Electronic Cover Page in the proposal will satisfy this requirement. These pages will not be counted against the page limits.

- The signature of the PI and the authorizing official (unless these signatures appear on the Graphic Cover Page);
- Section I:
 - o The Principal Investigator's (PI's) full name, E-mail address, phone number, and mailing address;
 - O The proposal's full title, proposed start date (start of Phase A), and proposed end date (if the proposed end date is later than December 31, 2020, please enter an end date that is one day after your start date to indicate that the true end date is within the body of your proposal.);
- Section II:
 - o The date submitted;
- Section III:
 - o The legal name of the proposing organization;
 - o The common name ("doing business as" name) of the proposing organization;
 - o The Division/Department/Center of the proposing organization;
 - The mailing address of the proposing organization;
- Section IV:
 - The proposal point-of-contact's (POC's) name, E-mail address, and telephone number (proposal POC may be the PI);
- Section V:
 - o The following text, verbatim:

Certification of Compliance with Applicable Executive Orders and U.S. Code

By submitting the proposal identified in the Cover Sheet/Proposal Summary Information in response to this Research Announcement, the Authorizing Official of the proposing organization (or the individual proposer if there is no proposing organization) as identified below:

- certifies that the statements made in this proposal are true and complete to the best of his/her knowledge;
- agrees to accept the obligations to comply with NASA award terms and conditions if an award is made as a result of this proposal; and
- confirms compliance with all provisions, rules, and stipulations set forth in the two Certifications and one Assurance contained in this NRA (namely, (i) the Assurance of Compliance with the NASA Regulations Pursuant to Nondiscrimination in Federally Assisted Programs, and (ii) Certifications,

Disclosures, and Assurances Regarding Lobbying and Debarment and Suspension).

Willful provision of false information in this proposal and/or its supporting documents, or in reports required under an ensuing award, is a criminal offense (U.S. Code, Title 18, Section 1001).

• The name, E-mail address, and phone number of the authorized official who signed the proposal's cover page;

Section VI:

o For every proposal team member, their name; E-mail address; phone number; organization name; indication if participation is from an international organization or a U.S. Government agency; if yes to the latter, a statement of total funds requested by that U.S. agency; and team member role (exactly one role per team member) chosen from the following list (note that these are the only roles permitted by NSPIRES; other named key personnel, such as project scientist or project systems engineer, should use the most appropriate role from this list):

List of Team Member Roles
Principal Investigator
Co-investigator
Co-I/Institutional PI
Collaborator
Project Manager
Industry Partner
International Partner
Other Professional
Postdoctoral Associate
Graduate/Undergraduate Student

Section VII:

Proposal summary (not to exceed 300 words); NASA intends to enter the summaries
of all investigation proposals selected for its various programs into a publicly
accessible database; proposal summaries shall not contain proprietary or confidential
information that the submitters wish to protect from public disclosure;

Section VIII:

- Answers to the following questions:
 - Is proprietary/privileged information included in this application? Answer Yes or No;
 - Does this project involve activities outside the U.S. or partnership with non-U.S. collaborators? Answer Yes or No for the following types of foreign participation and include an explanation if "Yes": Principal Investigator, Co-Investigator, Collaborator, Equipment, Facilities;
 - Are NASA civil servant personnel participating as team members on this project (include funded and unfunded)? – Answer Yes or No;
 - Does this project have an actual or potential impact on the environment? –
 Answer Yes or No and include an explanation if "Yes";

- Has an exemption been authorized on an environmental assessment (EA) or an
 environmental impact statement (EIS) been performed? Answer Yes or No and
 include an explanation if "Yes";
- Does this project have the potential to affect historic, archeological, or traditional cultural sites (such as Native American burial or ceremonial grounds) or historic objects (such as an historic aircraft or spacecraft)? – Answer Yes or No and include an explanation if "Yes";

• Section IX:

- o Short title of proposal (the mission's acronym or short nickname);
- Identification of science research focus Answer choices: Heliophysics or Astrophysics
- o Is a student collaboration (SC) proposed? Answer Yes or No;
- o Is a science enhancement option (SEO) proposed? Answer Yes or No;
- Which launch vehicle performance class is proposed? (pulldown menu choices (Select One): Launch Vehicle Option A or Launch Vehicle Option B)
- PI-Managed Mission Cost in real year dollars (RY\$) and in FY 2011 dollars from Table B3;
- o Total Mission Cost in real year dollars (RY\$) and in FY 2011 dollars from Table B3;
- Enhanced PI-Managed Mission Cost in real year dollars (RY\$) and in FY 2011 dollars from Table B3;
- Type of institution;
- List all participants in this investigation, both requesting funding and not requesting funding, who do not appear on the proposal's cover page as a Co- investigator, Collaborator, or any other category of participant. Include name, institution, city, state or country, and a description of the role in five words or less (e.g. data analyst, facility provider, support technician). (*N.b.*, this is requested to aid NASA in avoiding conflicts of interest for proposal reviewers.) See Requirement B-11A of the AO.
- Statement of contributions to development or operations (but not science) by any non-U.S. partner. Identify the non-U.S. partner(s), the non-U.S. funding agency/agencies, and the approximate value of the non-U.S. contributions, if any;
- Provide NASA Personnel FTE Information; enter FTE totals by budget year in the following format: Year 1, FTE Total for Year 1, Year 2, FTE Total for Year 2, Year 3, FTE Total for Year 3, etc. (Example: FY07, 3.2, FY08 2.40, FY09, 1.3)
- o Answers to the following questions:
 - i. This proposal contains information and/or data that are subject to U.S. export control laws and regulations, including Export Administration Regulations (EAR) and International Traffic in Arms Regulations (ITAR). Answer Yes or No;
 - ii. The export-controlled material (EAR and/or ITAR) has been identified in this proposal. Answer Yes or No or N/A;
 - iii. The proposer acknowledges that the inclusion of such material in this proposal may complicate the Government's ability to evaluate the proposal. Answer Yes or No or N/A;

END OF DOCUMENT